

## THIRD PARTY AUTHORITY ON INVESTMENT MANAGEMENT ACCOUNTS

Where you wish to appoint your adviser or another party to give investment instructions on your Investment Management account, the Investment Manager may wish to carry out identity checks on that party in accordance with the Money Laundering Regulations 2007.

Where the third party is an adviser, this will usually involve making a check that the adviser firm is included in the FSA register on the Financial Services Authority website.

Where the third party is not a UK regulated firm, the Investment Manager may wish to verify their identity by undertaking an electronic anti-money laundering check using a reputable referencing agency. A record of this check will be maintained by the referencing agency used by the Investment Manager. Such checks cannot be carried out on non UK residents.

This form should accordingly only be completed where you wish to give third party authority to a UK resident individual who is not an FSA registered adviser. For all other types of third parties, we or the Investment Manager will contact you with any requirements.

Investor name	<input type="text"/>
Address	<input type="text"/>
	<input type="text" value="Postcode"/>
Plan number(s)	<input type="text"/>
	(please leave blank for new SIPP applications)
Third party full name	<input type="text"/>
Third party full address	<input type="text"/>
	<input type="text" value="Postcode"/>
Date of birth	<input type="text"/> <input type="text"/> <input type="text"/>
<p>I hereby consent that, in connection with the above Plan(s) for which I am to be given third party dealing authority;</p> <ul style="list-style-type: none"><li>• Suffolk Life may disclose my information, including personal data (as defined in section 1 of the Data Protection Act 1998), to the Investment Manager appointed under the Plan(s);</li><li>• Suffolk Life and any Investment Manager appointed under the Plan(s) may hold my personal data in the proper performance of their obligations under the Plan(s)' terms and conditions;</li><li>• Suffolk Life and/or any Investment Manager appointed may use referencing agencies for the purpose of checking my identity and for the prevention of money laundering and fraud; and</li><li>• Suffolk Life and/or any Investment Manager appointed under the Plan(s) may pass my information to any legal or regulatory body is required to do so.</li></ul> <p>I acknowledge that I am aware that the Suffolk Life, any Investment Manager appointed under the Plan(s) and the referencing agencies used will maintain a record of this check.</p>	
Third party signature	<input type="text"/>
Date	<input type="text"/> <input type="text"/> <input type="text"/>

Suffolk Life is the trading name of Suffolk Life Annuities Limited (registered in England and Wales number 1011674) and Suffolk Life Pensions Limited (registered in England and Wales number 1180742). Suffolk Life operates and administers self-invested personal pensions and similar pension products.

Suffolk Life Annuities Limited and Suffolk Life Pensions Limited are authorised and regulated by the Financial Services Authority.

Telephone calls to Suffolk Life are recorded for training, monitoring and fact verification purposes.

**SUFFOLKLIFE**  
THE INTELLIGENT APPROACH