

IN SPECIE CONTRIBUTIONS BROKER AND INVESTOR AGREEMENT

- This form should be used to gain agreement from your broker to help facilitate the settlement of an in-specie contribution debt by way of a broker to broker transfer

- This must be completed and submitted to Suffolk Life prior to any instructions being made to the broker
- Suffolk Life will need to confirm everything is in order before any instructions can be made
- Suffolk Life will not authorise the transfer of assets unless this document is signed by both investor and broker acting for the SIPP

1 Investor section

Investor details

Investor name	<input type="text"/>
Address	<input type="text"/>
	Postcode
Plan No.	<input type="text"/>
Contact telephone no.	<input type="text"/>

Please regard this letter as my intention to transfer the following shares to my above Suffolk Life Plan in lieu of the contribution I have contracted with Suffolk Life to pay

No. of shares	Name of shares	SEDOL

- I confirm myself and my connected parties, i.e. spouse, family member etc (as defined in S.993 Income Tax Act 2007) do not own a total of 20% or more of the shares in any of the companies detailed above.
- The shares are currently held in a personal broker account. On receipt of approval from Suffolk Life I acknowledge it is my responsibility to instruct the transfer between my personal broker account and the SIPP account with the above mentioned broker.
- I confirm I will not instruct the transfer of assets prior to Suffolk Life's confirmation to proceed.
- I acknowledge that the transaction must be placed with the assets transferring to my SIPP with no monetary consideration transferring to my personal account.
- Suffolk Life must be informed by the broker of the details requested in section 2 within one working day of the transaction.

Signature	<input type="text"/>
Date	<input type="text"/>

2 Broker section

Broker details for broker acting on behalf of the SIPP

Broker firm name

Broker contact name

Address

Postcode

Telephone No.

E-mail.

- We agree to transfer the assets detailed in section 1 with the assets transferring to the investors Suffolk Life account with no monetary consideration transferring to the investor's personal account on instruction from the investor in a prompt manner.
- We agree to pay the relevant stamp duty on the transfer on the basis of a purchase.
- We understand that there will be no settlement for the transfer of these assets.
- We agree to send Suffolk Life the following details for each stock transferred within one working day of making the transfer to technical.team@suffolklife.co.uk:
 - a) Time and date
 - b) Market value
 - c) Stamp duty paid
 - d) Charges/commission levied from Suffolk Life account for transfer

Authorised signatory

Signature